

A Key Victory For SEC In Battle Over Administrative Courts
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The U.S. Securities and Exchange Commission scored a significant victory last week when a three-judge panel of the U.S. Court of Appeals for the D.C. Circuit ruled in *Raymond J. Lucia Cos. Inc. and Raymond J. Lucia v. SEC* that the appointment of the SEC's administrative law judges does not violate constitutional requirements. This case was a matter of high stakes for the agency as an adverse ruling could have compromised a large part of the SEC's enforcement program.

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